(Modified)

FORM PTO-1449 U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE

ATTY. DOCKET NO. P01-3685 Client/Matter No. 68854.0186

SERIAL NO.

INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(Use several sheets if necessary)

APPLICANT Stephen J. Sicola et al.

FILING DATE Herewith

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EXAMINER	PATENT	ISSUE				FILING DATE	
NITIAL	NUMBER	DATE	PATENTEE	CLASS	SUBCLASS	APPROPRIATE	
Nr	6,188,973 B1	2/13/01	Martinez et al.	702	188	3/29/99	
NP	6,237,112 B1	5/22/01	Yoo et al.	714	30	6/26/98	
NP	6,243,790 B1	6/5/01	Yorimitsu	711	112	3/25/97	
NP	5,835,700	11/10/98	Carbonneau et al.	395	183.2	8/16/96	
WP	6,266,721 B1	7/24/01	Sheikh et al.	710	100	10/1/97	
	FOREIGN PA	TENT OR PUBL	ISHED FOREIGN PA	TENT APP	LICATION		
	DOCUMENT	PUBLISHED			,,	TRANSLATION	

NUMBER DATE COUNTRY CLASS **SUBCLASS** YES NO

OTHER DOCUMENTS (Including Author,	, Title, Date, Pertinent Pages, Etc.
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EXAMINER And Add	DATE CONSIDERED

EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

PTO/SB/08A (10/01) (Substitute for form 1449A/PTO)				ATTY. DOCKET NO. P01-3685 Client/Matter No. 68854.0186 APPLICATION NO. 10/086,599			
PE INFORMATION DISCLOSURE STATEMENT BY APPLICANT		FIRST NAMED INVENTOR Stephen J. Sicola, Bruce Sardeson, Dennis Spicher, Bruce Roberts, and Bill Pagano					
OCT 18 (Us several sheets if necessary)				FILING DATE ART UNIT October 19, 2001 2185			
TRADEN			U.S. PATENT	DOCUMENTS			-
Examiner Initials	Cite No.	Document No. No. – Kind Code	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Doc	Pages, Columns, Lines, Where Releval Passages or Relevant Figures Appear		
MP		US-6,073,209	06/06/00	Bergsten	RECEIVE OCT 2 2 2002 Technology Center		
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Examiner Cite Foreign Patent Doc Initials No. Cntry code – No. – Kind Code		Publication Date	Name of Patentee or Applicant of Cited Doc	Pages, Columns. Lines Where Relevant Passages or Relevant Figures Appear	TRANSLATION		
E				,,		YES	NO
B					,		
	L	OTHER PRIOF	R ART – NON PAT	ENT LITERATURE DOCUM	MENTS	I,	_l
Examiner Initials	Cite No.	Include name of the auth symposium,	or (in CAPITAL LETTER catalog, etc.), date, pag	RS), title of the article (when appropries), volume-issue number(s) publis	riate), title of the item (book, magazi sher, city and/or country where publ	ne, journal, s ished	serial,
NP		SMART STORAGE INC.,	"SmartStor InfiNet ^T	^m : Virtual Storage for Today's E	E-economy," September 2000.		
1		COMPAQ COMPUTER C	ORPORATION, "The	Compaq Enterprise Network S	Storage Architecture: An Overv	iew," May	2000.
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